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PATENT APPLICATION  
10/098,703

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ming Xu et al.  
Serial No.: 10/098,703  
Filing Date: March 14, 2002  
Group Art Unit: 2673  
Examiner: Vijay Shankar  
Title: LIQUID CRYSTAL BASED OPTICAL SIGNAL  
PROCESSING

RECEIVED

SEP 02 2004

Technology Center 2600

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**RESPONSE TO ELECTION/RESTRICTION REQUIREMENT**

Applicants acknowledge receipt of the Office Action mailed May 17, 2004, which sets forth an election of species requirement. Applicants traverse the election of species requirement, but provisionally elect Species 2, which includes Claims 1, 11-25, 27-28, and 34. Applicants respectfully submit that Claim 24 is a "linking claim" that links together the inventions of at least species 1-4 pursuant to MPEP § 809.03. Pursuant to MPEP § 809.04, Applicants retain in this case all of the claims to the nonelected inventions. Moreover, Applicants remind the Examiner that "[i]f a linking claim is allowed, the examiner must thereafter examine species if the linking claim is generic thereto, or he or she must examine the claims to the nonelected inventions that are linked to the elected invention by such allowed linking claims." See MPEP § 809.04.

# PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2001

Application or Docket Number

068274-6285

## CLAIMS AS FILED - PART I

|   | (Column 1)    | (Column 2)   |
|---|---------------|--------------|
| TOTAL CLAIMS  | 34            |              |
| FOR   | NUMBER FILED  | NUMBER EXTRA |
| TOTAL CHARGEABLE CLAIMS                                   | 34 minus 20 = | * 14         |
| INDEPENDENT CLAIMS  | 3 minus 3 =   | * 0          |
| MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/> |               |              |

\* If the difference in column 1 is less than zero, enter "0" in column 2

## CLAIMS AS AMENDED - PART II

|   | (Column 1)                       | (Column 2)                         | (Column 3)    |
|---|----------------------------------|------------------------------------|---------------|
| AMENDMENT A   | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
| Total   | * 34                             | Minus ** 34                        | =             |
| Independent   | * 3                              | Minus *** 3                        | =             |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/> |                                  |                                    |               |

|   | (Column 1)                       | (Column 2)                         | (Column 3)    |
|---|----------------------------------|------------------------------------|---------------|
| AMENDMENT B   | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
| Total   | *                                | Minus **                           | =             |
| Independent   | *                                | Minus ***                          | =             |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/> |                                  |                                    |               |

|   | (Column 1)                       | (Column 2)                         | (Column 3)    |
|---|----------------------------------|------------------------------------|---------------|
| AMENDMENT C   | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
| Total   | *                                | Minus **                           | =             |
| Independent   | *                                | Minus ***                          | =             |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/> |                                  |                                    |               |

SMALL ENTITY TYPE ☐

OR OTHER THAN SMALL ENTITY

| RATE      | FEE    |
|-----------|--------|
| BASIC FEE | 370.00 |
| X\$ 9=    | 126    |
| X42=      |        |
| +140=     |        |
| TOTAL     | 496    |

| RATE      | FEE    |
|-----------|--------|
| BASIC FEE | 740.00 |
| X\$18=    |        |
| X84=      |        |
| +280=     |        |
| TOTAL     |        |

SMALL ENTITY OR

OTHER THAN SMALL ENTITY

| RATE   | ADDITIONAL FEE |
|--------|----------------|
| X\$ 9= |                |
| X42=   |                |
| +140=  |                |
| TOTAL  |                |

| RATE   | ADDITIONAL FEE |
|--------|----------------|
| X\$18= |                |
| X84=   |                |
| +280=  |                |
| TOTAL  |                |

| RATE   | ADDITIONAL FEE |
|--------|----------------|
| X\$ 9= |                |
| X42=   |                |
| +140=  |                |
| TOTAL  |                |

| RATE   | ADDITIONAL FEE |
|--------|----------------|
| X\$18= |                |
| X84=   |                |
| +280=  |                |
| TOTAL  |                |

| RATE   | ADDITIONAL FEE |
|--------|----------------|
| X\$ 9= |                |
| X42=   |                |
| +140=  |                |
| TOTAL  |                |

| RATE   | ADDITIONAL FEE |
|--------|----------------|
| X\$18= |                |
| X84=   |                |
| +280=  |                |
| TOTAL  |                |

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.